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CONFIRMATION NO. 5381

|                                    |   |                     |                               |   |
|------------------------------------|---|---------------------|-------------------------------|---|
| <b>SERIAL NUMBER</b><br>10/022,689 | <b>FILING OR 371(c) DATE</b><br>12/17/2001<br><b>RULE</b> | <b>CLASS</b><br>438 | <b>GROUP ART UNIT</b><br>2813 | <b>ATTORNEY DOCKET NO.</b><br>ASC-023DVC1 |
|------------------------------------|---|---------------------|-------------------------------|---|

**APPLICANTS**  
 Eugene A. Fitzgerald, Windham, NH; *RF*

**\*\* CONTINUING DATA \*\*\*\*\***  
 This application is a CON of 09/712,604 11/14/2000 ABN which is a CON of 09/265,016 03/09/1999 PAT 6,291,321 which is a DIV of 09/103,672 06/23/1998 PAT 6,107,653 and said 09/712,604 11/14/2000 claims benefit of 60/050,602 06/24/1997 and claims benefit of 60/059,765 09/16/1997 *RF*

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*** *RF*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
 \*\* 01/16/2002

|  |                               |                            |                          |                                |
|--|-------------------------------|----------------------------|--------------------------|--------------------------------|
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no   | <b>STATE OR COUNTRY</b><br>NH | <b>SHEETS DRAWING</b><br>5 | <b>TOTAL CLAIMS</b><br>9 | <b>INDEPENDENT CLAIMS</b><br>1 |
| 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                               |                            |                          |                                |
| Verified and Acknowledged <i>Laura Selby</i><br>Examiner's Signature   | <i>JS</i><br>Initials         |                            |                          |                                |

**ADDRESS**  
51414

**TITLE**  
Controlling threading dislocation densities in Ge on Si using graded GeSi layers and planarization

|                                    |   |  |
|------------------------------------|---|--|
| <b>FILING FEE RECEIVED</b><br>1076 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                              |
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